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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Hiroaki Sekizawa**

Group Art Unit: **2141**

Application No.: **10/714,100**

Examiner: **Not Yet Known**

Filed: **November 13, 2003**

For: **SYSTEM AND METHOD FOR MONITORING THE STATE OF A  
PLURALITY OF MACHINES CONNECTED VIA A COMPUTER  
NETWORK**

Date: **January 4, 2005**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and pursuant to 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement by Applicant form (PTO/SB/08A&B).

With the exception of JP 9-188042, cited in the specification, the cited references were brought to Applicant's attention in a Corrected Notification of Reasons for Refusal, dated August 22, 2002, and in a Judgment in "Trial Case for Requesting Cancellation of Decision that Patent should be Cancelled", dated June 23, 2004, both in corresponding Japanese Patent Application.

Copies of the cited references are enclosed. English abstracts and machine English translations of the cited Japanese Laid-Open Patent Applications have been provided where available.

**Appln. No. 10/714,100**  
**Supplemental Information Disclosure Statement**  
**filed January 4, 2005**

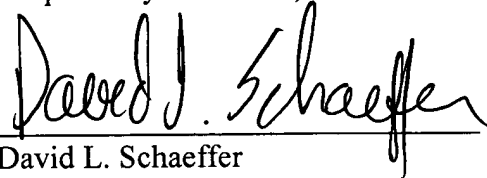
It is respectfully requested that the cited information be considered by the Examiner and that a copy of the enclosed Form PTO/SB/08A&B be returned indicating that such information has been considered.

To date, Applicants have not received a first Office Action, and so this Supplemental Information Disclosure Statement is believed to be filed under 37 C.F.R. §1.97(b)(3).

No fees are believed to be due in connection with this Supplemental Information Disclosure Statement. Nevertheless, the Commissioner is authorized to charge any fees now due in connection with this paper, as well as any fees hereafter due in connection with any other papers, to deposit account no. 19-4709.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please contact applicant's attorney at the number listed below.

Respectfully submitted,

A handwritten signature in black ink, reading "David L. Schaeffer", is written over a horizontal line.

David L. Schaeffer  
Registration No. 32,716  
Attorney for Applicants  
Stroock & Stroock & Lavan LLP  
180 Maiden Lane  
New York, New York 10038  
(212) 806-6660



Docket No.  
448563/0237

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Date: **January 4, 2005**

**CERTIFICATE OF MAILING**  
**BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Return Postcard; Supplemental Information Disclosure Statement (2 pgs); PTO/SB08 A&B (5 pgs); 16 references**

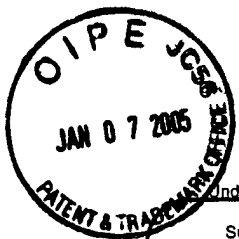
is being deposited on **January 4, 2005** with the United States Postal Service  
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**PO Box 1450**  
**Alexandria, Virginia 22313-1450.**

**David L. Schaeffer**

(Typed Or Printed Name Of Person Mailing Correspondence)

(Signature Of Person Mailing Correspondence)



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **1** of **5****Complete if Known**

Application Number	<b>10/714,100</b>
Filing Date	<b>November 13, 2003</b>
First Named Inventor	<b>Hiroaki Sekizawa</b>
Art Unit	<b>2141</b>
Examiner Name	<b>Not Yet Known</b>
Attorney Docket Number	<b>448563/0237</b>

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		<b>JP-57-172497</b>	<b>10-23-1982</b>	<b>Tateishi Elec. Co.</b>	<b>Engl. trans.</b>	<b>X</b>
		<b>JP-03-161765</b>	<b>07-11-1991</b>	<b>Sanyo Elec. Co.</b>	<b>Engl. abst. and partial trans.</b>	<b>X</b>
		<b>JP-04-256971</b>	<b>09-11-1992</b>	<b>Fuji Xerox Co. Ltd.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-05-236555</b>	<b>09-10-1993</b>	<b>NEC Corp.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-06-348632</b>	<b>12-22-1994</b>	<b>Fuji Xerox Co. Ltd.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **2** of **5****Complete if Known**

Application Number	<b>10/714,100</b>
Filing Date	<b>November 13, 2003</b>
First Named Inventor	<b>Hiroaki Sekizawa</b>
Art Unit	<b>2141</b>
Examiner Name	<b>Not Yet Known</b>
Attorney Docket Number	<b>448563/0237</b>

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		<b>JP-07-288871</b>	<b>10-31-1995</b>	<b>Sanyo Elec. Co.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-07-334445</b>	<b>12-22-1995</b>	<b>Hitachi Ltd.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-08-115125</b>	<b>05-07-1996</b>	<b>Canon Inc.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-08-241019</b>	<b>09-17-1996</b>	<b>Toshiba Corp.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-08-286854</b>	<b>11-01-1996</b>	<b>Fuji Xerox Co. Ltd</b>	<b>Engl. abst. and trans.</b>	<b>X</b>

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Sheet **3** of **5****Complete if Known**

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First Named Inventor	<b>Hiroaki Sekizawa</b>
Art Unit	<b>2141</b>
Examiner Name	<b>Not Yet Known</b>
Attorney Docket Number	<b>448563/0237</b>

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		<b>JP-09-134297</b>	<b>05-20-1997</b>	<b>Sumitomo Elec.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-09-152815</b>	<b>06-10-1997</b>	<b>Ricoh Co. Ltd.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-09-188042</b>	<b>07-22-1997</b>	<b>Canon Inc.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-09-251356</b>	<b>09-22-1997</b>	<b>Canon Inc.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>
		<b>JP-09-298543</b>	<b>11-18-1997</b>	<b>Sumitomo Elec.</b>	<b>Engl. abst. and trans.</b>	<b>X</b>

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Sheet

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of

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP-11-353145	12-24-1999	Seiko Epson Corp.	Engl. abst. and trans.	X

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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Application Number

**Complete if Known**

**10/714,100**

Filing Date

November 13, 2003

First Named Inventor

Hiroaki Sekizawa

**Art Unit**

2141

Examiner Name

### Not Yet Known

Attorney Docket Number

**448563/0237**

## NON PATENT LITERATURE DOCUMENTS

Examiner  
Initials\*Cite  
No.<sup>1</sup>

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

 $T^2$ 

**Judgment (Trial Case for Requesting Cancellation of Decision that Patent should be Cancelled), dated June 23, 2004, in corresponding Japanese Patent Application, with English Translation**

**X**

**Corrected Notification of Reasons for Refusal, dated August 22, 2002, in corresponding Japanese Patent Application, with English Translation**

**X**

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